



**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted With Initial
Filing
OR
☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number AG03-071C

First Named Inventor Federspiel

COMPLETE IF KNOWN

Application Number 10/697,787

Filing Date 10/29/2003

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Generation of Plants with Improved Pathogen Resistance and Drought Tolerance

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 10/29/2003 as United States Application Number or PCT International

Application Number 10/697,787 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/US03/12981	WO	04/24/2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

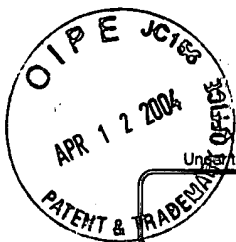
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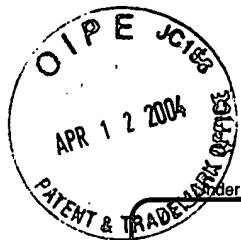
DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number		23500		OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Nancy <i>Anne</i> <i>May 11/24/03</i>			Federspiel		
Inventor's Signature <i>Nancy Federspiel</i>			Date <i>11/26/2003</i>		
Residence: City		State	Country	Citizenship	
Menlo Park		CA	US	US	
Mailing Address					
1345 Altschul Avenue					
City		State	Zip	Country	
Menlo Park		CA	94025	US	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any))			Family Name or Surname		
Allan			Lammers		
Inventor's Signature			Date		
Residence: City		State	Country	Citizenship	
Portland		OR	US	CA	
Mailing Address					
1709 S.W. Canby Street					
City		State	Zip	Country	
Portland		OR	97219	US	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 4 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					



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Name					
Address					
City		State		ZIP	
Country		Telephone		Fax	
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NAME OF SOLE OR FIRST INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname		
Nancy			Federspiel		
Inventor's Signature			Date		
Residence: City		State	Country	Citizenship	
Menlo Park		CA	US	US	
Mailing Address					
1345 Altschul Avenue					
City		State	Zip	Country	
Menlo Park		CA	94025	US	
NAME OF SECOND INVENTOR:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any])			Family Name or Surname		
Allan			Lammers		
Inventor's Signature			Date		
			19 November, 2003		
Residence: City		State	Country	Citizenship	
Portland		OR	US	CA	
Mailing Address					
1709 S.W. Canby Street					
City		State	Zip	Country	
Portland		OR	97219	US	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 4 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					

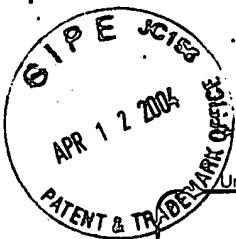


DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet	Page <u>1</u> of <u>4</u>
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Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xing Liang		Liu	
Inventor's Signature <i>Xing Liang</i>		Date <i>20 November 2003</i>	
Residence: City	Tualatin	State	OR
Country	US	Citizenship	CN
Mailing Address 8745 S.W. Stono Drive			
Mailing Address			
City	Tualatin	State	OR
ZIP	97032	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stanley R.		Bates	
Inventor's Signature <i>Stanley R. Bates</i>		Date <i>20 Nov. 2003</i>	
Residence: City	Aumsville	State	OR
Country	US	Citizenship	US
Mailing Address 10567 Stayton Road SE			
Mailing Address			
City	Aumsville	State	OR
Zip	97325	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christina		Westerlund	
Inventor's Signature		Date	
Residence: City	Portland	State	OR
Country	US	Citizenship	US
Mailing Address 7224 SE 17th Avenue			
Mailing Address			
City	Portland	State	OR
Zip	97202	Country	US

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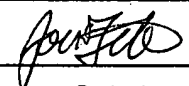
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Xing Liang		Liu	
Inventor's Signature		Date	
Residence: City	Tualatin	State	OR
Country	US	Citizenship	CN
Mailing Address 8745 S.W. Stono Drive			
Mailing Address			
City	Tualatin	State	OR
ZIP	97032	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stanley R.		Bates	
Inventor's Signature		Date	
Residence: City	Aumsville	State	OR
Country	US	Citizenship	US
Mailing Address 10567 Stayton Road SE			
Mailing Address			
City	Aumsville	State	OR
Zip	97325	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Christina		Westerlund	
Inventor's Signature <i>Christina Westerlund</i>		Date <i>11/26/03</i>	
Residence: City	Portland	State	OR
Country	US	Citizenship	US
Mailing Address 7224 SE 17th Avenue			
Mailing Address			
City	Portland	State	OR
Zip	97202	Country	US

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<h1>DECLARATION</h1>	<h2>ADDITIONAL INVENTOR(S) Supplemental Sheet</h2>
	Page 2 of 4

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jonathan R.		Fitch	
Inventor's Signature 		Date 12/10/03	
Residence: City	Portland	State	OR
Country	US	Citizenship	US
Mailing Address 2314 N.E. 45 th Avenue			
Mailing Address			
City	Portland	State	OR
ZIP	97213	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Catherine		Anderson	
Inventor's Signature		Date	
Residence: City	Durham	State	NC
Country	US	Citizenship	US
Mailing Address 132 Wicklow Lane			
Mailing Address			
City	Durham	State	NC
Zip	27713	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stephen Aaron		Lee	
Inventor's Signature		Date	
Residence: City	Durham	State	NC
Country	US	Citizenship	US
Mailing Address 1405 N. Gregson Street			
Mailing Address			
City	Durham	State	NC
Zip	27701	Country	US

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APR 12 2004

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PTO/SB/02A (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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Page 2 of 4

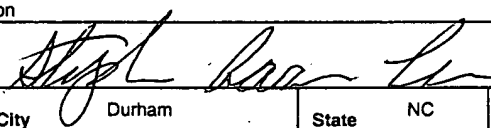
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Jonathan R.			Fitch		
Inventor's Signature				Date	
Residence: City	Portland	State	OR	Country	US
Mailing Address		2314 N.E. 45 th Avenue			
Mailing Address					
City	Portland	State	OR	ZIP	97213
				Country	US
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Catherine			Anderson		
Inventor's Signature				Date 12/1/03	
Residence: City	Durham	State	NC	Country	US
Mailing Address		132 Wicklow Lane			
Mailing Address					
City	Durham	State	NC	Zip	27713
				Country	US
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Stephen Aaron			Lee		
Inventor's Signature				Date	
Residence: City	Durham	State	NC	Country	US
Mailing Address		1405 N. Gregson Street			
Mailing Address					
City	Durham	State	NC	Zip	27701
				Country	US

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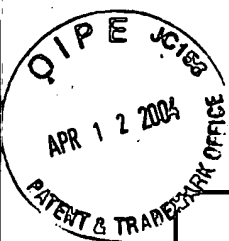
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 APR 12 2004

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Page 2 of 4	

Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Jonathan R.			Fitch		
Inventor's Signature				Date	
Residence: City	Portland	State	OR	Country	US
Mailing Address 2314 N.E. 45 th Avenue					
Mailing Address					
City	Portland	State	OR	ZIP	97213
				Country	US
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Catherine			Anderson		
Inventor's Signature				Date	
Residence: City	Durham	State	NC	Country	US
Mailing Address 132 Wicklow Lane					
Mailing Address					
City	Durham	State	NC	Zip	27713
				Country	US
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Stephen Aaron			Lee		
Inventor's Signature 				Date 11/21/2003	
Residence: City	Durham	State	NC	Country	US
Mailing Address 1405 N. Gregson Street					
Mailing Address					
City	Durham	State	NC	Zip	27701
				Country	US

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Page 3 of 4	

Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Kerrie		Powell	
Inventor's Signature <i>Kerrie Powell</i>		Date <i>11/22/03</i>	
Residence: City	Durham	State	NC
Country	US	Citizenship	US
Mailing Address 1204 Grandover Drive			
Mailing Address			
City	Durham	State	NC
ZIP	27713	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Pilar		Puente	
Inventor's Signature		Date	
Residence: City	Durham	State	NC
Country	US	Citizenship	ES
Mailing Address 6 Briarwick Court			
Mailing Address			
City	Durham	State	NC
Zip	27713	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jennifer Lee		Rhein	
Inventor's Signature		Date	
Residence: City	Raleigh	State	NC
Country	US	Citizenship	US
Mailing Address P.O. Box 5232			
Mailing Address			
City	Raleigh	State	NC
Zip	27650	Country	US

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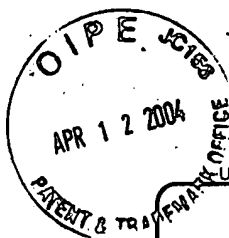
UIPE JC163
APR 12 2004
PATENT & TRADEMARK OFFICE

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
	Page 3 of 4

Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Kerrie			Powell		
Inventor's Signature				Date	
Residence: City	Durham	State	NC	Country	US
Mailing Address 1204 Grandover Drive					
Mailing Address					
City	Durham	State	NC	ZIP	27713
			Country	US	
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Pilar			Puentes		
Inventor's Signature				Date 1911212003	
Residence: City	Durham	State	NC	Country	US
Mailing Address 6 Briarwick Court					
Mailing Address					
City	Durham	State	NC	Zip	27713
			Country	US	
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname		
Jennifer Lee			Rhein		
Inventor's Signature				Date	
Residence: City	Raleigh	State	NC	Country	US
Mailing Address P.O. Box 5232					
Mailing Address					
City	Raleigh	State	NC	Zip	27650
			Country	US	

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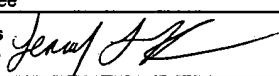
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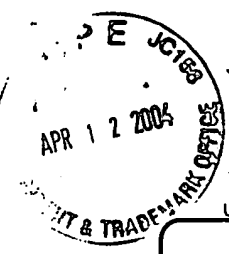
ADDITIONAL INVENTOR(S)
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Given Name (first and middle (if any))		Family Name or Surname	
Kerrie		Powell	
Inventor's Signature		Date	
Residence: City	Durham	State	NC
Country	US	Citizenship	US
Mailing Address 1204 Grandover Drive			
Mailing Address			
City	Durham	State	NC
ZIP	27713	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Pilar		Puente	
Inventor's Signature		Date	
Residence: City	Durham	State	NC
Country	US	Citizenship	ES
Mailing Address 6 Briarwick Court			
Mailing Address			
City	Durham	State	NC
Zip	27713	Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jennifer Lee		Rhein	
Inventor's Signature 		Date 11/23/03	
Residence: City	Raleigh	State	NC
Country	US	Citizenship	US
Mailing Address P.O. Box 5232			
Mailing Address			
City	Raleigh	State	NC
Zip	27650	Country	US

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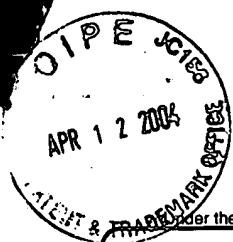


DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet	Page 4 of 4
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Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Philip Reid		Timmons	
Inventor's Signature <i>Philip Reid Timmons</i>		Date <i>24 NOV 03</i>	
Residence: City	Durham	State	NC
		Country	US
Mailing Address 4423 Dula Street:			
Mailing Address			
City	Durham	State	NC
		ZIP	27705
		Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Vincent Paul Mary		Wingate	
Inventor's Signature		Date	
Residence: City	Chapel Hill	State	NC
		Country	US
Mailing Address 613 Churchill Drive			
Mailing Address			
City	Chapel Hill	State	NC
		Zip	27514
		Country	US
Name of Additional Inventor, if any		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
		Country	
Mailing Address			
Mailing Address			
City		State	
		Zip	
		Country	

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page 4 of 4	

Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))				Family Name or Surname	
Philip Reid				Timmons	
Inventor's Signature				Date	
Residence: City		Durham		State NC	
Country		US		Citizenship US	
Mailing Address 4423 Dula Street					
Mailing Address					
City		Durham		State NC	
ZIP		27705		Country US	
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))				Family Name or Surname	
Vincent Paul Mary				Wingate	
Inventor's Signature <i>Vincent Paul Mary Wingate</i>				Date <i>21 Nov, 2003</i>	
Residence: City		Chapel Hill		State NC	
Country		US		Citizenship US	
Mailing Address 613 Churchill Drive					
Mailing Address					
City		Chapel Hill		State NC	
Zip		27514		Country US	
Name of Additional Inventor, if any				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))				Family Name or Surname	
Inventor's Signature				Date	
Residence: City				State	
Country				Citizenship	
Mailing Address					
Mailing Address					
City				State	
Zip				Country	

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